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1132 U.S. PTO

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August 20, 2001

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11046 U.S. PTO  
09/934989  
08/21/01

Frederick P. Fish  
1855-1930

W.K. Richardson  
1859-1951

Attorney Docket No.: 06618-045002

**Box Patent Application**  
Commissioner for Patents  
Washington, DC 20231

Presented for filing is a new divisional patent application of:

Applicant: MICHAEL H. B. STOWELL, GUANGYANG WANG AND SUNNEY  
I. CHAN

Title: SOL-GEL ENCAPSULATION OF LIPID VESICLES, LIPID  
MEMBRANES AND PROTEINS

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	10
Claims	4
Abstract	1
Declaration	2
Drawing(s)	7

Enclosures:

- Information Disclosure Statement: Applicant submits copies of forms PTO-1449, 1 pages, and PTO-892, 2 pages, listing documents cited in the parent application(s). Per Rule 97(d), copies of these references are not provided. Please confirm that these have been considered in this application by returning a copy of the Form PTO-1449 with the examiner's initials.
- Rule 63 declaration, copy from a previous application under rule 63(d) for continuation or divisional only.
- Postcard.

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No EL 870691809 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D C 20231

Date of Deposit August 21, 2001  
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Commissioner for Patents

August 20, 2001

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The prior application is assigned of record to California Institute of Technology by virtue of an assignment submitted to the Patent and Trademark Office and recorded on September 5, 1997 at 8707/0315.

This application is a divisional (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 08/788,632, filed January 24, 1997. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

This application presents 8 claims, 1 of which is independent, which correspond to claims 22-29 from the parent case. These correspond to non-elected Group II from that case.

Basic filing fee	\$355
Total claims in excess of 20 times \$9	\$0
Independent claims in excess of 3 times \$40	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$355

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

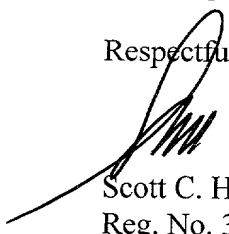
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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Respectfully submitted,

  
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Reg. No. 32,030  
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